



THE UNITED STATES PATENT AND TRADEMARK OFFICE

GAU 2881

INFORMATION DISCLOSURE STATEMENT

In re Application of Koren, et al.Attorney Docket No.: AGX-41Serial No.: 09/747,522Date: April 2, 2001Filed: December 21, 2000Art Unit: 2881Confirmation No.: 9990Our Account No.: 0451403Title: Heating Configuration for Use in Thermal Processing ChambersCommissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[X] Attached hereto is:

- a.[X] A list of materials for consideration per Rule 98(a)(1): page(s)
- b.[X] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):
25 item(s)
- c.[] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: Such explanation is provided in the Search Report from a corresponding application enclosed herewith, along with its translation into English.

2.[X] This Information Disclosure Statement is being filed [CHECK ONE]:

- a.[X] WITHIN THREE MONTHS of the application filing date or national stage date of entry OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b.[] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i.[] Certification per Rule 97(e); OR
- ii.[] Filing Fee per Rule 17(p)\$180.00
- c.[] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p)\$180.00

3.[] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a.[] That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b.[] That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: _____
Address: _____Signature: _____
Date: _____

4.[X] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

5.[X] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

a.[X] First Class Mail Certificate of Mailing under Rule 8:



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Christy Kellett

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Christy Kellett
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b.[] "Express Mail" Certificate under Rule 10:

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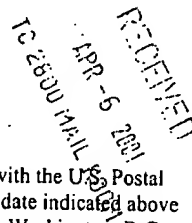
DORITY & MANNING, P.A.

By: Timothy A. Cassidy

Reg. No.: 38,024

Signature: [Signature]

Date: April 2, 2001



(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number	Serial Number
	AGX-41	09/747,522
	Applicant	
	Koren, et al.	
	Filing Date	Group
	December 21, 2000	2881
	Confirmation No. 9990	

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
 - USSN _____, filed _____, or
 - USSN _____, filed _____;
 Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5)

U.S. PATENT DOCUMENTS											
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE	
SJS	Mahawili	4	5	5	0	6	8	4	11/05/85		
	Gronet, et al.	5	1	5	5	3	3	6	10/10/92		
	Moslehi, et al.	5	2	5	5	2	8	6	10/19/93		
	Gronet, et al.	5	3	1	7	4	9	2	05/31/94		
	Moslehi, et al.	5	3	1	7	6	5	6	05/31/94		
	Moslehi	5	4	3	6	1	7	2	07/25/95		
	Moslehi, et al.	5	4	4	6	8	2	5	08/29/95		
	Gronet, et al.	5	4	8	7	1	2	7	01/23/96		
	McDiarmid, et al.	5	4	9	3	9	8	7	02/27/96		
	Gronet, et al.	5	6	8	3	1	7	3	11/04/97		
	Gronet, et al.	5	6	8	9	6	1	4	11/18/97		
	Gronet, et al.	5	7	4	3	6	4	3	04/28/98		
	Paranjpe	5	7	6	2	7	1	3	06/09/98		
	Gronet, et al.	5	7	6	7	4	8	6	06/16/98		
	Gronet, et al.	5	7	9	0	7	5	1	08/04/98		
	Mahawili	5	8	1	4	3	6	5	09/29/98		
	Gronet, et al.	5	8	4	0	1	2	5	11/24/98		
	Kaltenbrunner, et al.	5	8	6	1	6	0	9	01/19/99		
	Gronet, et al.	6	0	1	6	3	8	3	01/18/00		
	Gronet, et al.	6	1	2	2	4	3	9	09/19/00		

FOREIGN PATENT DOCUMENTS															
EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER							PUBLICATION DATE	TRANSLATION			COPY NOTE	
											YES	NO	N/A		
SJS ↓ SJS		EPO	0	8	1	1	7	0	9	A2	12/10/97				
		EPO	0	8	1	1	7	0	9	A3	08/12/98				
		EPO	0	8	2	1	0	8	4	A1	01/28/98				
		PCT	W	O	9	8	5	2	2	14	11/19/98				
		PCT	W	O	9	9	5	8	7	33	11/18/99				

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c)



(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number AGX-41	Serial Number 09/747,522
	Applicant Koren, et al.	
	Filing Date December 21, 2000 Confirmation No. 9990	Group 2881

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
EXAMINER <i>Shauntina Suga</i>	DATE CONSIDERED <i>10/22/04</i>	
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In re Application of Koren, et al.

Attorney Docket No.: AGX-41

Serial No.: 09/747,522

Date: May 1, 2002

Filed: December 21, 2000

Art Unit: 2881

Confirmation No.: 9990

Our Account No.: 04-1403

Title: Heating Configuration for Use In Thermal Processing Chambers

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

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12 item(s)
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CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: _____
Address: _____

Signature: _____
Date: _____

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DORITY & MANNING, P.A.

By: Christina L. Mangelsen, Patent Agent

Reg. No.: 50,244

Signature: Christina L. Mangelsen

Date: May 1, 2002

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(Rev. 5/92) Supplemental Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number AGX-41	Serial Number 09/747,522
	Applicant Koren, et al.	
	Filing Date December 21, 2000 Confirmation No. 9990	Group 2881

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 USSN _____, filed _____;
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- (4) No legible complete copy is possessed, in custody of controlled, readily available

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U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER								ISSUE DATE	COPY NOTE
SE	Dausinger, et al.	4	4	1	4	0	3	8		11/08/83	
	Itzkan	4	4	6	8	2	7	9		08/28/84	
	Maruyama	4	5	4	7	6	5	1		08/15/85	
	Griner, et al.	4	7	8	0	5	9	0		08/25/88	
	Dobson, et al.	4	9	5	9	2	4	5		09/25/90	
	Fair, et al.	5	3	3	6	6	4	1		08/09/94	
	Fair, et al.	5	5	2	3	2	6	2		06/04/96	
	Gallo, et al.	5	8	8	6	3	2	0		03/23/99	
	Dulaney, et al.	5	9	1	1	8	9	0		06/15/99	
	Sogard	5	9	5	4	9	8	2		09/21/99	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER								PUBLICATION DATE	TRANSLATION			COPY NOTE
											YES	NO	N/A	

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c)

COPY



(Rev. 5/92) Supplemental Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number AGX-41	Serial Number 09/747,522
	Applicant Koren, et al.	
	Filing Date December 21, 2000 Confirmation No. 9990	Group 2881

SGT	Luk'yanchuck. Sov. J. Quantum Electro. 9(10), Oct. 1979		
EXAMINER	DATE CONSIDERED 10/22/04		
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.			



(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a)(1) (Use several sheets if necessary)	Attorney Docket Number: AGX-41	Serial Number: 09/747,522
	Applicant: Koren, et al.	
	Filing Date: December 21, 2000 Confirmation No: 9990	Group Art Unit: 2881

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USSN _____, filed _____, or
USSN _____, filed _____;
Relied on under 35 U.S.C. Section 120, per Rule 98(d)

(3) Both reasons (1) and (2) apply

(4) No legible complete copy is possessed, in custody of controlled, or readily available

(5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
✓	Seivig	2	3	1	8	5	3	3	05/04/1943	
	Gentile, et al.	3	8	7	9	2	5	7	04/22/1975	
	Roberts, Jr.	3	9	0	3	3	4	2	09/02/1975	
	Dunning, et al.	4	1	6	6	0	0	1	08/28/1979	
	Kearney, et al.	4	2	2	5	3	8	2	09/30/1980	
	Nuttall, et al.	4	3	4	4	8	1	8	08/17/1982	
	Arni, et al.	4	5	7	1	4	8	6	02/18/1986	
	Levinson, et al.	4	6	1	5	7	6	5	10/07/1986	
	Chiba, et al.	4	7	6	1	5	3	8	08/02/1988	
	Robinson, et al.	4	9	7	5	5	6	1	12/04/1990	
	Anderson, et al.	5	1	7	9	6	7	7	01/12/1993	
	Wortman	5	2	5	3	3	2	4	10/12/1993	
	Moslehi, et al.	5	2	6	8	9	8	9	12/07/1993	
	Thompson, et al.	5	3	2	6	1	7	1	07/05/1994	
	Najm, et al.	5	3	4	5	5	3	4	09/06/1994	
	Moslehi, et al.	5	3	6	7	6	0	6	11/22/1994	
	Kakoschke	5	3	9	9	5	2	3	03/21/1995	
	Hauser, et al.	5	4	1	8	8	8	5	05/23/1995	
	Lee, et al.	5	4	4	4	8	1	5	08/22/1995	
	Ohkase, et al.	5	5	3	6	9	1	8	07/16/1996	
	Fujie	5	5	8	7	0	1	9	12/24/1996	
	Moslehi	5	6	3	5	4	0	9	06/03/1997	
	Farrington, Jr., et al.	5	6	5	6	1	3	2	08/12/1997	
	Orianan, et al.	5	6	9	5	6	0	7	12/09/1997	
	Singh, et al.	5	8	2	0	9	4	2	10/13/1998	
	Orianan, et al.	5	8	5	1	6	2	9	12/22/1998	
	Champetier, et al.	5	8	7	4	7	1	1	02/23/1999	
	Orianan, et al.	5	8	8	2	4	7	9	03/16/1999	
	Habuku	5	9	1	3	9	7	4	06/22/1999	
	Vosen	5	9	3	0	4	5	6	07/27/1999	
	Farrington, Jr., et al.	5	9	3	2	0	6	8	08/03/1999	
	Moslehi, et al.	5	9	3	7	1	4	2	08/10/1999	
	Vinson, et al.	5	9	5	8	1	8	5	09/28/1999	
	Gat, et al.	5	9	6	0	1	5	8	09/28/1999	
	Gat	5	9	7	0	2	1	4	10/19/1999	
	Shah	5	9	7	0	3	8	2	10/19/1999	
✓	Singh, et al.	5	9	8	0	6	3	7	11/09/1999	

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(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: AGX-41	Serial Number: 09/747,522
	Applicant: Koren, et al.	
	Filing Date: December 21, 2000 Confirmation No: 9990	Group Art Unit: 2881

✓	Champetier, et al.	5	9	9	7	1	7	5	12/07/1999
	Orianen, et al.	6	0	1	7	4	1	8	01/25/2000
	Champetier, et al.	6	0	2	7	2	4	4	02/22/2000
	Dwiggins, et al.	6	0	3	3	5	2	3	03/07/2000
	Dwiggins, et al.	6	0	3	3	7	6	1	03/07/2000
	Guardado	6	0	3	4	3	5	7	03/07/2000
	Orianen, et al.	6	0	5	1	1	0	4	04/18/2000
	Champetier	6	0	5	6	4	3	4	05/02/2000
	Dwiggins, et al.	6	0	6	8	7	3	1	05/30/2000
	Tay, et al.	6	0	7	5	9	2	2	06/13/2000
	Orianen, et al.	6	1	0	3	0	6	3	08/15/2000
	Orianen, et al.	6	1	1	3	7	4	0	09/03/2000
	Dwiggins, et al.	6	1	4	3	1	3	1	11/07/2000
	Harper, et al.	6	1	5	3	0	5	3	11/28/2000
	Guardado	6	1	6	0	2	4	2	12/12/2000
	Thakur	6	1	7	4	6	5	1	01/16/2001
	Tay, et al.	6	2	0	0	0	2	3	03/13/2001
	Tay, et al.	6	2	0	4	4	8	4	03/20/2001
	Hathaway	6	2	1	0	4	8	4	04/03/2001
	Guardado, et al.	6	2	2	2	9	9	0	04/24/2001
	Das, et al.	6	2	8	1	1	4	1	08/28/2001
	Guardado	6	2	9	3	6	9	6	09/25/2001
	Gat	6	3	1	0	3	2	8	10/30/2001
	Tay, et al.	6	3	5	9	2	6	3	03/19/2002
✓	Tay, et al.	6	4	0	3	9	2	3	06/11/2002
✓	Thakur, et al.	6	5	1	4	8	7	6	02/04/2003

U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER	PUBLICATION DATE	COPY NOTE
✓	Gat, et al.	0 0 1 7 6 1 8	02/14/2002	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	N/A	
✓	WO	0 1 4 5 5 0 1 A2 & A3	06/28/2001			X	
	EP	0 4 7 6 3 0 7 A1	03/25/1992			X	
	EP	0 5 0 5 9 2 8 A2	09/30/1992		X		
	EP	0 5 7 6 7 9 1 A1	01/05/1994			X	
	EP	0 6 1 8 3 2 9 B1	10/05/1994	X			
	EP	0 6 7 5 2 2 5 B1	10/04/1995			X	
✓	DE	4 3 0 6 3 9 8 A1	09/08/1994		X		
✓	WO	9 5 1 3 4 2 4 A1	05/18/1995			X	

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	Confirmation No: 9990	

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
	Abstract of Japanese Patent No. JP5259082 10/08/1993	
Sff	Article - <i>Temperature measurement in rapid thermal processing</i> , Paul J. Timans, Solid State Technology, April 1997, 6 pages	
	Article - <i>Reduced Thermal Processing for ULSI</i> , C. Hill, S. Jones, and D. Boys, Rapid Thermal Annealing - Theory and Practice, pp. 143-180	
	Article - <i>Application of Rapid Thermal Processing in Manufacturing: The Effect of Emissivity and Coupling</i> , James S. Nakos, pp. 421-428	
✓	Article - <i>The Effect of Multilayer Patterns on Temperature Uniformity during Rapid Thermal Processing</i> , Jeffrey P. Hebb and Klavs F. Jensen, J. Electrochem. Soc., Vol. 143, No. 3, March 1996, pp. 1142-1151	
Sff	Article - <i>Beam Processing In Silicon Device Technology</i> , C. Hill, Laser and Electron-Beam Solid Interactions and Materials Process, 1981, pp. 361-374	
EXAMINER	<i>Shawntina J. Jugueta</i>	DATE CONSIDERED 10/22/04
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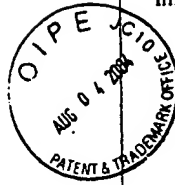
(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: AGX-41	Serial Number: 09/747,522
	Applicant: Koren, et al.	
	Filing Date: December 21, 2000 Confirmation No: 9990	Group Art Unit: 2881



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U.S. PATENT DOCUMENTS									
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE
SLT	Griner, et al.	4	7	8	0	5	9	0	10/25/1988
	Terasawa, et al.	5	2	2	2	1	1	2	06/22/1993
	Fujita, et al.	6	3	2	9	1	0	5	12/11/2001
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	Gat	6	6	1	0	9	6	7	08/26/2003
	Levy, et al.	6	6	3	8	8	7	6	10/28/2003
	Gat, et al.	6	7	1	7	1	5	8	04/06/2004
	Gat	6	7	2	7	4	7	4	04/27/2004

U.S. PATENT APPLICATION PUBLICATIONS									
EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER							PUBLICATION DATE
SLT	Selbrede, et al.	0	0	2	5	7	8	7	02/12/2004
	Chang, et al.	0	0	3	1	7	9	3	02/13/2003
	Gat	0	0	3	5	8	4	7	02/26/2004
	Eisele, et al.	0	0	5	8	5	5	7	03/25/2004
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	Koren, et al.	0	1	0	4	6	1	9	08/08/2002
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	Li, et al.	0	2	3	5	9	8	3	12/25/2003
	Timans	0	2	3	6	6	4	2	12/23/2003



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FOREIGN PATENT DOCUMENTS											
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EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
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